



07-27-01

Sector 5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert K. Rowe et al.

Serial No.: 09/832,631

Examiner: Unknown

Filed: April 11, 2001

Group Art Unit: 2877

For: ENCODED VARIABLE FILTER SPECTROMETER

Docket No.: 1023.1123101

TRANSMITTAL SHEETAssistant Commissioner for Patents
Washington, D.C. 20231

Sir:

CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EL925260876US, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231 on this 26th day of July, 2001.

By

Kathleen L. Boekley

We are transmitting herewith the attached:

☐ Amendment☐ No additional fee required☐ The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X9=	\$	X18=	\$
INDEPEN- DENT CLAIMS	-	=		X40=	\$	X80=	\$
() FIRST MULTIPLE DEPENDENT CLAIM				+135=	\$	+270=	\$
TOTAL				\$		\$	

- [XX] A check in the amount of \$130.00 is enclosed.
- [] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.
- [XX] Other: RESPONSE TO NOTICE TO FILE MISSING PARTS, RESPONSE COPY OF NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL APPLICATION, EXECUTED DECLARATION/POWER OF ATTORNEY, LETTER TO OFFICIAL DRAFTSMAN, AND 13 SHEETS OF FORMAL DRAWINGS.
- [XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: _____

David M. Crompton

Reg. No. 36,772

David M. Crompton
CROMPTON, SEAGER & TUFTE, LLC
331 Second Avenue South, Suite 895
Minneapolis, Minnesota 55401-2246
Telephone: (612) 677-9050
Facsimile: (612) 359-9349



P A T E N T

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In re Application of:

Robert K. Rowe et al.

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RESPONSE TO NOTICE TO FILE MISSING PARTS

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By Kathleen L. Boekley
Kathleen L. Boekley

Dear Sir:

To complete the above-captioned application, please find enclosed the "PART 2-COPY TO BE RETURNED WITH RESPONSE" copy of the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION FILED UNDER 37 CFR 1.53(B) - FILING DATE GRANTED, a Declaration signed by the named inventors, and a check in the amount of \$130 (surcharge). Permission is hereby granted to charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

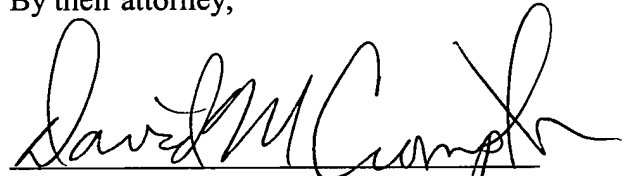
Any inquiry regarding the above-referenced matter may be directed to the undersigned representative.

Respectfully submitted,

Robert K. Rowe et al.

By their attorney,

Dated: 7/26/01

A handwritten signature in black ink, appearing to read "David M. Crompton", written over a horizontal line.

David M. Crompton, Reg. No. 36,772
CROMPTON, SEAGER & TUFTE, LLC
331 Second Avenue South, Suite 895
Minneapolis, MN 55401
Telephone: (612) 677-9050
Facsimile: (612) 359-9349



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/832,631	04/11/2001	Robert K. Rowe	1023.1123101

CONFIRMATION NO. 1809

FORMALITIES LETTER



OC000000006272542

David M. Crompton
CROMPTON, SEAGER & TUFTE, LLC
Suite 895
331 Second Avenue South
Minneapolis, MN 55401-2246

Date Mailed: 07/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/30/2001 MYUSUF1 00000075 09832631

CL FEE

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#4.

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By Kathleen L. Boekley
Kathleen L. Boekley

Dear Sir:

Please find attached hereto thirteen (13) sheets of formal drawings for filing in the above-identified application.

Respectfully submitted,

Robert K. Rowe et al.

By their attorney,

David M. Crompton

David M. Crompton, Reg. No. 36,772
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